



09-16-04

IFW

Docket No. 6976/SYNX/JB

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: Inventor(s): Martin R. Elliott, Michael R. Rice, Robert B. Lowrance, Jeffrey C. Hudgens, Eric A. Englhardt and Loy R. Stuart  
Title: METHODS AND APPARATUS FOR USING SUBSTRATE CARRIER MOVEMENT TO ACTUATE SUBSTRATE CARRIER DOOR OPENING/CLOSING  
Serial No.: 10/650,312  
Filed: August 28, 2003  
Group Art Unit: 3652  
Examiner: Not yet assigned

Transmitted herewith is:

PTO-1449 and Supplemental Information Disclosure Statement with copies of references cited therein.  
 Transmittal in duplicate.  
 Return Postcards.

FEE CALCULATION					
Fee Items	Claims Filed	Included With Basic Fee	Extra Claims	Fee Rate	Total
Total Claims	N/A	- 20 =	-0-	X \$18.00	\$0.00
Independent Claims	N/A	- 3 =	-0-	X \$84.00	\$0.00
Basic Filing Fee				\$740.00	\$0.00
<b>TOTAL FEES</b>					<b>\$0.00</b>

— The Commissioner is hereby authorized to charge \$0.00 to Deposit Account No. 04-1696.  
 The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-1696. A duplicate copy of this transmittal is enclosed.  
 Please address all future correspondence to:

Customer # 41161  
Dugan & Dugan, PC  
55 South Broadway  
Tarrytown, NY 10591

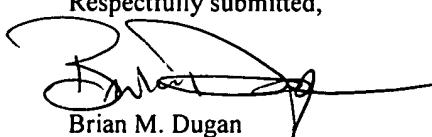
I hereby certify that this correspondence is being deposited with the United States Postal Service as express mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Express Mail Label No. EV403025838US

Date of Deposit: September 15, 2004

Signature: Brian M. Dugan

Respectfully submitted,

  
Brian M. Dugan  
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Express Mail Label No. EV403025838US

Docket No.:  
6976/SYNX/JB

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Martin R. Elliott, et al.

Serial No. : 10/650,312

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For : METHODS AND APPARATUS FOR USING SUBSTRATE CARRIER MOVEMENT TO ACTUATE SUBSTRATE CARRIER DOOR OPENING/CLOSING

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Commissioner for Patents  
P.O. Box 1450  
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,  
applicants wish to call the attention of the Examiner to the  
following references:

U.S. Patent No. 5,762,544, Zuniga et al.

Foreign Art Reference No. EP 0 987 750 A1 (EPO)

Foreign Art Reference No. EP 1 134 641 A1 (EPO)

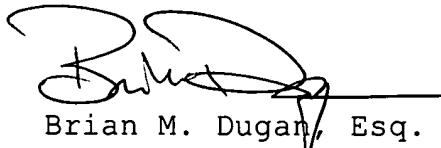
Foreign Art Reference No. WO 99/64207 (WIPO)

Foreign Art Reference No. WO 02/19392 A1 (WIPO)

These references are also listed on the accompanying  
Information Disclosure Statement (Form PTO-1449).

Consideration of the foregoing in relation to this  
patent application is respectfully requested.

Respectfully Submitted,



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Dated: September 15, 2004  
Tarrytown, New York

U.S. Department of Commerce, Patent and Trademark Office				Docket No.:	Serial No.:			
				6976/SYNX/JB	10/650,312			
LIST OF RELEVANT ART CITED BY APPLICANT (Use several sheets if necessary)				Applicants:				
				Martin R. Elliott, et al				
				Filing Date:	Group:			
				August 28, 2003	3652			
U.S. Patent Documents								
*Examiner Initial		Document Number	Issue Date	Name	Class	Subclass	Filing Date If Appropriate	
	US-1	5,762,544	06/09/98	Zuniga et al.	451	285		
	US-2							
	US-3							
	US-4							
	US-5							
	US-6							
	US-7							
	US-8							
	US-9							
	US-10							
	US-11							
Foreign Patent Documents								
Translation								
		Document Number	Date	Country	Class	Subclass	Yes	No
	F-1	EP 0 987 750 A1	03/22/00	EPO			X	
	F-2	EP 1 134 641 A1	09/19/01	EPO			X	
	F-3	WO 99/64207	12/16/99	WIPO			X	
	F-4	WO 02/19392 A1	03/07/02	WIPO			X	
	F-5							
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
OT-1								
OT-2								
OT-3								
Examiner		Date Considered						
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.								